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| **Purpose/Objective:** This contribution provides regulatory, operational, and technical studies to respond to Resolution **772** (**WRC-19**). |
| **Abstract:** Resolution **772** (**WRC-19**), in preparation for Agenda Item 1.6 (WRC-23), invites the ITU-R to study the spectrum needs for stations on board sub-orbital vehicles, any appropriate modification to the Radio Regulations, excluding any new allocations or changes to the existing allocations in Article **5**, and to identify whether there is a need for access to additional spectrum that should be addressed after WRC-23 by a future competent conference. This contribution provides those studies to support the agenda item. |

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| **Radiocommunication Study Groups** |  |
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| WORKING DOCUMENT TOWARDS PRELIMINARY DRAFT NEW REPORT ON WRC-23 AGENDA ITEM 1.6[SUBORBITAL VEHICLES studies]**Regulatory, operational, and technical studies of radiocommunications for suborbital vehicles** |
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**Introduction**

Resolution **772** (**WRC-19**), in preparation for Agenda Item 1.6 (**WRC-23**), invites the ITU-R to study the spectrum needs for stations on board sub-orbital vehicles, any appropriate modification to the Radio Regulations, excluding any new allocations or changes to the existing allocations in Article **5**, and to identify whether there is a need for access to additional spectrum that should be addressed after WRC-23 by a future competent conference. The United States offers this contribution to provide those studies to support the agenda item.

Attachment: 1

ATTACHMENT

working document TOWARDS PRELIMINARY DRAFT NEW REPORT on wrc-23 agenda item 1.6
[suborbital vehicles studies]

**Regulatory, operational, and technical studies of radiocommunications for suborbital vehicles**

*Editor’s Note: The content of this document was not agreed by WP5B (November-December 2021]*

# 1 Introduction

Resolution **772** (**WRC-19**), in preparation for WRC-23 agenda item 1.6, invites the ITU-R:

 "1 to study spectrum needs for communications between stations on board sub‑orbital vehicles and terrestrial/space stations providing functions such as, *inter alia*, voice/data communications, navigation, surveillance and TT&C;

 2 to study appropriate modification, if any, to the Radio Regulations, excluding any new allocations or changes to the existing allocations in Article **5**, to accommodate stations on board sub-orbital vehicles, whilst avoiding any impact on conventional space launch systems with the following objectives:

– to determine the status of stations on sub-orbital vehicles, and study corresponding regulatory provisions to determine which existing radiocommunication services can be used by stations on sub-orbital vehicles, if necessary;

– to determine the technical and regulatory conditions to allow some stations on board sub-orbital vehicles to operate under the aeronautical regulation and to be considered as earth stations or terrestrial stations even if a part of the flight occurs in space;

– to facilitate radiocommunications that support aviation to safely integrate sub-orbital vehicles into the airspace and be interoperable with international civil aviation;

– to define the relevant technical characteristics and protection criteria relevant for the studies to be undertaken in accordance with the bullet point below;

– to conduct sharing and compatibility studies with incumbent services that are allocated on a primary basis in the same and adjacent frequency bands in order to avoid harmful interference to other radiocommunication services and to existing applications of the same service in which stations on board sub-orbital vehicles operate, having regard to the sub-orbital flight application scenarios.

 3 to identify, as a result of the studies above, whether there is a need for access to additional spectrum that should be addressed after WRC-23 by a future competent conference."

2 Abbreviations and relevant ITU-R Recommendations / Reports

# Abbreviations

ADS-B: Automatic dependant surveillance – broadcast

ADS-C: Automatic dependant surveillance – contract

AM(R)S : Aeronautical mobile (route) service

AMS(R)S : Aeronautical mobile satellite (route) service

AMS: Aeronautical mobile service

AMT: Aeronautical mobile telemetry

ATM: Air traffic management

GNSS: Global navigation satellite service

ICAO: International Civil Aviation Organization

MSS: Mobile satellite service

RNSS: Radionavigation satellite service

RR: Radio Regulations

TT&C: Telemetry, tracking & telecommand

# Relevant ITU-R Recommendations and Reports

*Recommendations*

[ITU-R M.1038](https://www.itu.int/rec/R-REC-M.1038/en)-0 Efficient use of the geostationary-satellite orbit and spectrum in the 1-3 GHz frequency range by mobile-satellite systems

[ITU-R M.1184-3](https://www.itu.int/rec/R-REC-M.1184/en) Technical characteristics of mobile satellite systems in the frequency bands below 3 GHz for use in developing criteria for sharing between the mobile-satellite service and other services

[ITU-R M.1316-1](https://www.itu.int/rec/R-REC-M.1316/en) Principles and a methodology for frequency sharing in the 1 610.6‑1 613.8 MHz and 1 660-1 660.5 MHz bands between the mobile-satellite service (Earth-to-space) and the radio astronomy service

[ITU-R M.1471-1](https://www.itu.int/rec/R-REC-M.1471/en) Guide to the application of the methodologies to facilitate coordination and use of frequency bands shared between the mobile-satellite service and the fixed service in the frequency range 1-3 GHz

[ITU-R M.1741](https://www.itu.int/rec/R-REC-M.1741/en)-0 Methodology for deriving performance objectives and its optimization for IP packet applications in the mobile-satellite service

[ITU-R M.1787-4](https://www.itu.int/rec/R-REC-M.1787-3-201803-I/en) Description of systems and networks in the radionavigation-satellite service (space-to-Earth and space-to-space) and technical characteristics of transmitting space stations operating in the bands 1 164-1 215 MHz,1 215-1 300 MHz and 1 559-1 610 MHz

ITU-R M.1901-3 Guidance on ITU-R Recommendations related to systems and networks in the radionavigation-satellite service operating in the frequency bands 1 164-1 215 MHz, 1 215-1 300 MHz, 1 559-1 610 MHz, 5 000-5 010 MHz and 5 010-5 030 MHz

[ITU-R M.1903-1](https://www.itu.int/rec/R-REC-M.1903-1-201909-I/en) Characteristics and protection criteria for receiving earth stations in the radionavigation-satellite service (space-to-Earth) and receivers in the aeronautical radionavigation service operating in the band 1 559-1 610 MHz

[ITU-R M.1905-1](https://www.itu.int/rec/R-REC-M.1905-1-201909-I/en) Characteristics and protection criteria for receiving earth stations in the radionavigation-satellite service (space-to-Earth) operating in the band 1 164-1 215 MHz

*Report*

[ITU-R M.2413-0](https://www.itu.int/pub/R-REP-M.2413-2017) Reception of automatic dependent surveillance broadcast via satellite and compatibility studies with incumbent systems in the frequency band 1 087.7-1 092.3 MHz

[ITU-R M.2477-0](https://www.itu.int/pub/R-REP-M.2477-2019) Radiocommunications for suborbital vehicles

# 3 Suborbital vehicles

A) Definition of suborbital vehicles

According to Report ITU-R M.2477, a suborbital vehicle is a vehicle executing suborbital flight and suborbital flight is defined as the intentional flight of a vehicle expected to reach the upper atmosphere with a portion of its flight path that may occur in space[[1]](#footnote-1) without completing a full orbit around the Earth before returning to the surface of the Earth.

B) Operational concepts

Currently, there are a variety of technical solutions to achieve suborbital flight. Launch modes include horizontal and vertical, landing modes include horizontal landing and vertical landing, recovery modes include self-controlled return and parachute recovery, and thrust modes include rocket power and combined power. Suborbital flights can be implemented by different combinations of the above modes. Figures 1 and 2 show examples of the operational concepts of a suborbital flight.

Figure 1

Examples of the operational concepts of suborbital flight



Figure 2

 Examples of suborbital flights



With the rapid development of the various suborbital flight concepts in recent years, such as hypersonic flight and reusable carrier rocket technology, suborbital flight has become an operational reality, which supports a wide range of fields including education, transportation, tourism, and scientific research. Current research and development aim to enable suborbital vehicles to be capable of carrying several thousand kilograms of cargo and passengers by 2035 and up to 6 000 flights per year by 2045.

One example of an operational concept, related to tourism, consists of a flight carrying crewmembers to beyond the Karman Line (the unofficial boundary between the Earth’s atmosphere and space), using a reusable suborbital rocket. The suborbital flight achieves Mach 3 velocity during launch and will spend a few minutes in zero gravity before deploying parachutes to return to the surface of the Earth.

Another example of an operational concept consists in suborbital vehicle ferried by a special airplane and then released at a high altitude for conventional aircraft. This suborbital vehicle, which is part airplane and part rocket, uses rocket thrust to increase altitude beyond the Karman line and then return to the surface of the Earth like a glider.

Another example of a more conventional space launch provider uses a first-stage reusable booster in these missions, which falls under the definition of suborbital vehicles in its concept of operation.

# 4 Functional and spectrum needs for communications between stations on-board sub‑orbital vehicles and terrestrial/space stations

## 4.1 Radiocommunication functional needs

There are functional needs for radiocommunications between stations on-board sub-orbital vehicles and terrestrial/space stations providing functions such as, *inter alia*, voice/data communications, navigation, surveillance, and telemetry, tracking & telecommand (TT&C).

### 4.1.1 Telemetry, tracking and command

Telemetry, radio telemetry and space telemetry are defined in Radio Regulations (RR) Nos. **1.131**, **1.132**, and **1.133**.Radio telemetry for sub-orbital vehicles provide information about the status of vehicle and its subsystems. It is envisioned that the real-time telemetry is transmitted to ground stations, relay satellites, or space stations over radio frequency links. Additionally, some sub-orbital vehicles may require transmitting real-time high definition digital videos from multiple feeds carrying visual information about the vehicle status to ground terminals directly or through relay satellites or space stations.

Telecommand and space telecommand are defined in RR Nos. **1.134** and **1.135**. Radiocommunications for telecommand are used to initiate, modify or terminate functions of equipment on sub-orbital vehicles.

Space tracking is defined in RR No. **1.136**. It is envisioned that sub-orbital vehicle will rely on dedicated radio frequency links to perform adjustments of its trajectory by means of radiodetermination. Such tracking is expected to be performed through either ground station terminals or relay satellites or space stations.

Like the communications link mentioned in Section 4.1.2, a desired aspect of sub-orbital vehicles’ TT&C links is the ability to maintain the link throughout various phases of flight including atmospheric re-entry where radio communication with the vehicle experiences significant attenuation due to plasma effects caused by extreme heating and ionization of air around the vehicle.

### 4.1.2 Communications

It is expected that sub-orbital vehicles will establish and maintain communications with ground-based mission control centres during the full duration of flight through either direct communication or through relay satellites or space stations. These communication links are like the communications commonly established by aircraft using internationally standardized systems . It is noted, passenger communications which may, for example, be for entertainment purposes would not be considered safety of life.

An important aspect of sub-orbital vehicle communication requirements is the desire to maintain the link throughout various phases of flight. Therefore, considerations would have to be given to:

• atmospheric effects during re-entry where radio communication with the vehicle experiences significant attenuation due to plasma effects caused by extreme heating and ionization of air around the vehicle;

• additional Doppler effects caused by the increase in speed relative to conventional aircraft; and;

• the vehicle’s altitude and increased separation distances from ground stations.

### 4.1.3 Surveillance

A surveillance service provides the identification and position of users of the airspace and obstructions. For example, the automatic dependent surveillance – broadcast (ADS-B), using the frequencies 978 MHz and 1 090 MHz, is an ICAO-standardized aeronautical surveillance system. It provides airspace navigation service providers and other users of the airspace surveillance data for high-altitude, high-velocity vehicles (compared to conventional aircraft), including suborbital vehicles. One of the use-cases for this system includes equipping the suborbital vehicle to report ADS-B messages in all phases of flight for the purpose of aeronautical surveillance and collision avoidance from other airspace users, such as conventional aircraft operating in the airspace at lower altitudes and at much lower comparative velocities. Other surveillance technologies exist for use by suborbital vehicles, such as automatic dependent surveillance – contract (ADS-C). Like ADS-B, the principal surveillance application would be the same as that used for conventional aircraft.

### 4.1.4 Navigation

Navigation is the determination of the position and velocity of a moving vehicle. It is expected suborbital vehicles would utilize the same navigation systems currently in use for conventional aircraft. Several electronic aids currently available for navigation, including global navigation satellite service (GNSS) navigation systems, are operated under the radio navigation satellite service (RNSS) allocation (space-to-Earth).

Since different technical requirements may be expected between the RNSS receivers, which will be operated under RNSS (space-to-Earth) allocations, and RNSS receivers, which will be operated under RNSS (space-to-space) allocations, whether the same RNSS receivers can have the same performance may be investigated by receiver manufacturers and/or sub-orbital vehicle operators. However, this kind of investigation should be conducted outside of ITU-R studies.

## 4.2 Spectrum needs for sub-orbital vehicles

### 4.2.1 Operations in non-segregated airspace

For operations in non-segregated airspace, the separation of aircraft from suborbital vehicles is ensured by the air traffic management.

The operational concepts of suborbital vehicles in non-segregated airspace consider its integration in that airspace under the relevant air traffic management system. Hence, the safety of existing aircraft and suborbital vehicles is ensured in a similar manner.

The expectation is that suborbital vehicles operating in non-segregated airspace will use space and terrestrial systems similar to systems used by conventional aircraft for the purposes of air traffic management.

Considering that suborbital vehicles are expected to consist of only a small fraction of overall aviation traffic, the spectrum requirements for the operation of suborbital vehicles in non-segregated airspace are not expected to significantly impact on overall aviation spectrum requirements.

Spectrum needs of suborbital vehicles in non-segregated airspace for non-safety applications are similar to what is described in Section 4.1.

### 4.2.2 Operations in segregated airspace

The separation of suborbital vehicles from other aircraft may be provided using segregated airspace. However, it is desirable to limit as much as possible the time during which the segregated airspace is needed, and the volume of airspace affected.

Spectrum needs of suborbital vehicles in segregated airspace for non-safety applications are similar to what is described in Section 4.1.

Since the spectrum needs for the operation of any suborbital vehicle flights are in any case of limited duration, it is anticipated that spectrum needs for satellite and terrestrial systems to be used for TT&C can be met within current terrestrial and space service allocations.

# 5 Summary of studies

*[To be added]*

*[Editor’s note: to be used for the section on summary for studies in draft CPM text]*

*[Editor’s Note: The difference in meaning between segregated airspace versus non-segregated airspace needs to be described and explained in relation to sharing between suborbital vehicles and other systems of allocated services in a frequency band.]*

Annex 3

Regulatory considerations to facilitate operating stations
on board sub-orbital vehicles

Under this agenda item, it is recognized that the frequency accommodation for suborbital vehicles should not negatively impact the current use of frequencies by any other radiocommunication services.

## A3.1 Examples of radiocommunications services and frequencies

There are several existing radiocommunications services that can be used by stations on-board suborbital vehicles using existing coordination processes and procedures. These services include, but may not necessarily be limited to:

– AM(R)S: The aeronautical mobile (route) service, e.g., VHF voice and data communications and ADS-B, in accordance with ICAO SARPs.

– AMS(R)S receive only: ADS-B, in accordance with ICAO SARPs.

– RNSS: The GNSS systems using 1 164-1 215 MHz and 1 559-1 610 MHz can be used for navigation.

– MSS: The mobile satellite service in the frequency bands 1 518-1 544 & 1 545‑1 559 MHz (space-to-Earth), 1 610-1 626.5 MHz, and 1 626.5-1 645.5 & 1 646.5‑1 660.5 MHz (Earth-to-space), and 1 668-1 675 MHz (Earth-to-space) can be used for safety (AMS(R)S allocation in the 1 610-1 626.5 MHz band) and non-safety applications.

– MS: Telemetry, Tracking and Control functions might utilize one or more portions of the frequency bands 2 200-2 290 MHz, 2 300-2 390 MHz, and 2 360-2 400 MHz, among others. Non-safety passenger communications could utilize Mobile Service allocations currently permitted for use on aircraft by the Radio Regulations.

## A.3.2 Application of existing mobile satellite service radio regulations

The operation of MSS systems providing aeronautical radiocommunications in the above-identified frequency bands are regulated under existing RR provisions. The application of MSS systems to support suborbital vehicles would require communications between the MSS satellite and the MES at all stages of the flight, including when the mobile earth station (MES) is on the ground, in the atmosphere and when in space. The existing RR Article **9** procedures are/would be adequate to capture any new coordination requirements in the operation of MESs onboard sub-orbital vehicles in the 1.6/1.5 GHz bands that result with other MSS satellite systems and networks, and other space services operating in the 1.6/1.5 GHz bands.

This approach would permit the existing frequency coordination procedures identified under Section II of RR Article **9** to remain applicable in the coordination and operation of MSS communications to sub-orbital vehicles, during the intervals of time when a sub-orbital vehicle is in space.

For coordination and protection of terrestrial services, is noted that the operation of MESs on sub-orbital vehicles in space would result in less interference to terrestrial services, relative to the comparable case of an MES operating on the Earth’s surface or within the Earth’s atmosphere on an aircraft. This reduction in the potential for interference at the Earth’s surface results from the increased separation of the MES to the Earth when operating in regions of space.

Similarly, no changes would be necessary for MSS satellite transmissions to support the operation of MESs on sub-orbital vehicles, since the required satellite transmissions powers, if anything, would be lower. When operating earth stations on sub-orbital vehicles, there would be a lower path-loss between the MES and associated MSS satellite, together with a reduction in the atmospheric impairment and multipath degradation.

The above factors would ensure the protection of terrestrial services is maintained in the use of MSS communications, and that the existing regulatory provisions and coordination requirements in the use of MSS communication in the 1.6/1.5 GHz frequency bands remain effective. Additionally, it is noted that RR Nos. **5.208B** and **5.379C** would continue to apply to MESs operating on sub-orbital vehicles, to maintain the protection of radioastronomy operating in the frequency bands 1 660.0-1 660.5 MHz and 1 668-1 670 MHz.

Since the definition of an MES requires it to be either on the Earth’s surface or within the major portion of the Earth’s atmosphere (see Nos. **1.25** and **1.63**), it would be necessary to clarify the regulations to ensure that MESs may also be used on suborbital vehicles when beyond the Earth’s atmosphere.

## A3.3 Potential modifications to the Radio Regulations, in accordance with *invites* 2, Resolution 772 (WRC-19), that facilitate radiocommunications that support aviation to safely integrate sub-orbital vehicles into the airspace and be interoperable with international civil aviation

At this time, sub-orbital flight radiocommunications have been carried out using the existing regulatory provisions of the Radio Regulations. These have been recognized in Report ITU-R M.2477. They include both terrestrial and space services as provided for in RR Article **5**.

Resolution **772 (WRC-19)** has indicated the need to study any appropriate modifications to the Radio Regulations that “facilitate radiocommunications that support aviation to safely integrate sub-orbital vehicles into the airspace”. There are several options for achieving this objective:

a) Make no changes to the RR – this option recognizes the existing experience but provides no unique identification of sub-orbital use of spectrum. As identified above, the use of MESs on suborbital vehicles could be considered as inconsistent with the current Article **1** definitions, which would remain with no change to RR.

b) A Resolution (WRC-23) – in this option a new Resolution would appropriately recognize the services that may be used by sub-orbital vehicles.

c) Modify RR Article **4** – this Article, “Assignment and use of frequencies” contains statements relating to special conditions and use of certain stations and spectrum applications.

d) Modification of other parts of the RR – in this option other Articles of the RR could be modified to accommodate sub-orbital vehicle use of spectrum.

1. The delimitation between atmosphere and outer space has not been legally defined at an international level by the competent organizations. [↑](#footnote-ref-1)